1

=> fil reg FILE 'REGISTRY' ENTERED AT 11:26:35 ON 28 JUN 2007 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2007 American Chemical Society (ACS)

Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 27 JUN 2007 HIGHEST RN 939702-02-0 DICTIONARY FILE UPDATES: 27 JUN 2007 HIGHEST RN 939702-02-0

New CAS Information Use Policies, enter HELP USAGETERMS for details.

TSCA INFORMATION NOW CURRENT THROUGH December 2, 2006

Please note that search-term pricing does apply when conducting SmartSELECT searches.

REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

=> d his nofile

(FILE 'HOME' ENTERED AT 10:18:10 ON 28 JUN 2007)

FILE 'HCAPLUS' ENTERED AT 10:18:22 ON 28 JUN 2007
L1 1 SEA ABB=ON PLU=ON US2004106040/PN
D IALL
SEL RN

FILE 'REGISTRY' ENTERED AT 10:19:17 ON 28 JUN 2007 7 SEA ABB=ON PLU=ON (2768-02-7/BI OR 337529-55-2/BI OR L2620168-38-9/BI OR 7440-21-3/BI OR 7440-44-0/BI OR 7631-86-9/BI OR 7782-42-5/BI) D SCA 1 SEA ABB=ON PLU=ON 7440-21-3/RN L3 706 SEA ABB=ON PLU=ON (SI(L)O)/ELS AND 2/ELC.SUB L4L5 3 SEA ABB=ON PLU=ON L2 AND L4 D SCA 492 SEA ABB=ON PLU=ON L4 AND 1<=O<1.7 L6 445 SEA ABB=ON PLU=ON L6 AND TIS/CI L7 238 SEA ABB=ON PLU=ON L7 NOT 1.7-100/O L8 97 SEA ABB=ON PLU=ON L8 NOT 0-0.9999/O L9 2 SEA ABB=ON PLU=ON L2 AND L9 L10

FILE 'STNGUIDE' ENTERED AT 10:50:50 ON 28 JUN 2007

FILE 'HCAPLUS' ENTERED AT 10:58:12 ON 28 JUN 2007

L11

QUE ABB=ON PLU=ON NEGATIVE?(A) ELECTROD## OR ANOD##

L12

QUE ABB=ON PLU=ON (NONAQ# OR NONAQUEOUS? OR NON(W) (AQ#

OR AQUEOUS?))(2A) ELECTROLY?

L13

QUE ABB=ON PLU=ON BATTERY

L14

2152 SEA ABB=ON PLU=ON L3(L)L11

10/721,280 2

				and the second second	
L15	1507	SEA AE	B=ON	PLU=ON	L3(L)L13
L16	157	SEA AE	BB=ON	PLU=ON	L3(L)L12
L17	135	SEA AE	B=ON	PLU=ON	L9(L)L11
L18	133	SEA AE	B=ON	PLU=ON	L9(L)L13
L19	37	SEA AE	BB=ON	PLU=ON	L9(L)L12
L20	103	SEA AE	B=ON	PLU=ON	L14 AND L15 AND L16
L21	30	SEA AE	B=ON	PLU=ON	L17 AND L18 AND L19
L22		QUE AE	BB=ON	PLU=ON	PARTICLE?(2A)(SIZE? OR DIAMETER?)
L23	. 8891	SEA AE	B=ON	PLU=ON	ACTIV? (3A) L11
L24	45	SEA AE	B=ON	PLU=ON	L20 AND L23
L25	13	SEA AE	B=ON	PLU=ON	L21 AND L23
L26	2	SEA AE	BB=ON	PLU=ON	L24 AND L22
L27	0	SEA AE	BB=ON	PLU=ON	L25 AND L22
L28	72	SEA AE	BB=ON	PLU=ON	L14 AND L22
L29	2	SEA AE	B=ON	PLU=ON	L17 AND L22
L30	26	SEA AE	BB=ON	PLU=ON	L28 AND L23
L31	4	SEA AE	BB=ON	PLU=ON	L26 OR L29
L32	689843	SEA AE	BB=ON	PLU=ON	MU(W)M OR MICROMETER# OR MICRON#
L33	16	SEA AF	BB=ON	PLU=ON	L30 AND L32
L34	3	SEA AE	BB=ON	PLU=ON	L31 AND L32
L35	1	SEA AF	BB=ON	PLU=ON	L31 NOT L34
L36	14	SEA AE	BB=ON	PLU=ON	L33 NOT (L34 OR L35)
L37	10	SEA AE	BB=ON	PLU=ON	L30 NOT (L34 OR L35 OR L36)

=> fil hcap

FILE 'HCAPLUS' ENTERED AT 11:26:38 ON 28 JUN 2007
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
COPYRIGHT (C) 2007 AMERICAN CHEMICAL SOCIETY (ACS)

Copyright of the articles to which records in this database refer is held by the publishers listed in the PUBLISHER (PB) field (available for records published or updated in Chemical Abstracts after December 26, 1996), unless otherwise indicated in the original publications. The CA Lexicon is the copyrighted intellectual property of the the American Chemical Society and is provided to assist you in searching databases on STN. Any dissemination, distribution, copying, or storing of this information, without the prior written consent of CAS, is strictly prohibited.

FILE COVERS 1907 - 28 Jun 2007 VOL 147 ISS 1 FILE LAST UPDATED: 27 Jun 2007 (20070627/ED)

New CAS Information Use Policies, enter HELP USAGETERMS for details.

This file contains CAS Registry Numbers for easy and accurate substance identification.

=> d 134 ibib abs hitstr hitind 1-3

L34 ANSWER 1 OF 3 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2006:981509 HCAPLUS Full-text DOCUMENT NUMBER: 145:318064

TITLE: Nonaqueous electrolyte secondary lithium

batteries with silicon-containing anodes and lithium transition metal oxide-containing $% \left(\frac{1}{2}\right) =\frac{1}{2}\left(\frac{1}{2}\right) \left(\frac{1}{2}$

cathodes

INVENTOR(S): Fukui, Atsushi; Sawa, Katsuichiro; Sunagawa,

Takuya; Kamino, Maruo

PATENT ASSIGNEE(S):

Sanyo Electric Co., Ltd., Japan

SOURCE:

Jpn. Kokai Tokkyo Koho, 20pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE JP 2006252999 Α JP 2005-68857 200503 11 PRIORITY APPLN. INFO.: JP 2005-68857 200503

11

3

AB The title batteries comprise Si-containing anode active materials, Li transition metal-containing mixed oxide cathode active materials, and the cathodes contain nonaq. electrolyte-retaining liquid having average particle size ≥ 1 nm and ≤ 10

and BET sp. surface area ≥5 m2/g. Preferably, the powder is selected from Y oxide, Ce oxide, Si oxide, and Sn oxide. The batteries have high capacity and excellent charge-discharge characteristics.

ΙΤ 7440-21-3, Silicon, uses

RL: DEV (Device component use)

(anode active material; nonaq.

electrolyte secondary lithium batteries with

silicon or silicon alloy anodes and lithium transition

metal oxide cathodes also containing electrolyte-retaining powder)

7440-21-3 HCAPLUS RN

Silicon (CA INDEX NAME) CN

Si

52-2 (Electrochemical, Radiational, and Thermal Energy Technology) CC

7440-21-3, Silicon, uses ΙT

RL: DEV (Device component use)

(anode active material; nonaq.

electrolyte secondary lithium batteries with

silicon or silicon alloy anodes and lithium transition

metal oxide cathodes also containing electrolyte-retaining powder)

L34 ANSWER 2 OF 3 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2003:735270 HCAPLUS Full-text

DOCUMENT NUMBER:

139:263296

TITLE:

Secondary nonaqueous electrolyte battery without

anode deformation or electrolytic solution

maldistribution and its manufacture

INVENTOR(S):

Nakamoto, Takayuki; Nanai, Norishige; Bito, Yasuhiko; Kasamatsu, Shinji; Nitta, Yoshiaki

PATENT ASSIGNEE(S):

Matsushita Electric Industrial Co., Ltd., Japan Jpn. Kokai Tokkyo Koho, 13 pp.

SOURCE:

CODEN: JKXXAF

DOCUMENT TYPE: LANGUAGE:

Patent Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2003263979	A	2003091,9	JP 2002-66651	200203 12
PRIORITY APPLN. INFO.:			JP 2002-66651	200203

AB In manufacturing the battery, an anode is formed by filling an anode active substance with average particle size d 0.5-50 .mu.m into a current collector having continuous pores, sp. surface area 0.002-0.06 m2/g, and porosity 60-97%. In the obtained anode, 10-25 volume% of the continuous pores are filled with the active substance, and the rate of the bonding area between the active substance and the current collector to the surface area of the active substance is 5-40%. Since deformation of anode or maldistribution of an electrolytic solution during charging and discharging is prevented, the battery has high capacity and long cycle life.

IT 7440-21-3, Silicon, uses

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process); USES (Uses)

(anode active substance; manufacture of
nonaq. electrolyte battery without
anode deformation or electrolytic solution maldistribution
for high capacity and long cycle life)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Şi

IC ICM H01M004-02

ICS H01M004-38; H01M004-66; H01M004-80; H01M010-40

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology) Section cross-reference(s): 56

IT Silicon alloy, base

Tin alloy, base

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process); USES (Uses)

(anode active substance; manufacture of nonaq.

electrolyte battery without anode deformation or electrolytic solution maldistribution for high capacity and long cycle life)

IT **7440-21-3**, Silicon, uses 7440-31-5, Tin, uses 12787-61-0

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process); USES (Uses)

(anode active substance; manufacture of nonaq. electrolyte battery without anode deformation or electrolytic solution maldistribution

5

L34 ANSWER 3 OF 3 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER: 2002:976165 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 138:42052

TITLE: Anode material containing coated silicon oxide

for secondary nonaqueous-electrolyte battery

INVENTOR(S): Miyawaki, Satoru; Aramata, Mikio; Fukuoka,

Hirofumi; Ueno, Susumu

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 6 pp.

CODEN: JKXXAF

DOCUMENT TYPE: LANGUAGE: Patent Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2002373653	А	20021226	JP 2001-181830	200106 15
PRIORITY APPLN. INFO.:			JP 2001-181830	200106 15

The title anode material contains conductive SiOx powder containing SiOx having average particle size d50(A) 0.2-20 . mu.m coated with a conductive substance having average particle size d50(B) 20 nm to 13 μ m [where d50(A)/d50(B) \geq 1.5] by mech. surface fusion treatment. Preferably, the anode contains SiOx (x = 0.6-1.5). The resulting battery has high capacity and long cycle life.

IT 113443-18-8P, Silicon oxide (SiO)

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PNU (Preparation, unclassified); PYP (Physical process); PREP (Preparation); PROC (Process); USES (Uses)

(anode material containing coated silicon oxide for secondary nonaq.-electrolyte battery)

RN 113443-18-8 HCAPLUS

CN Silicon oxide (SiO) (CA INDEX NAME)

Component	- 1	Ratio		Component
	1			Registry Number
============	==+==		==+=	
0	1	1		17778-80-2
Si	1	1	1	7440-21-3

IC ICM H01M004-58

ICS C01B033-113; H01M004-02; H01M004-04; H01M010-40

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT 113443-18-8P, Silicon oxide (SiO)

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PNU (Preparation, unclassified); PYP (Physical process); PREP (Preparation); PROC (Process); USES (Uses) (anode material containing coated silicon oxide for

secondary nonaq.-electrolyte battery)

L36 ANSWER 1 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2007:463841 HCAPLUS Full-text

DOCUMENT NUMBER:

146:465271

TITLE:

Methods of fabrication of battery anodes

INVENTOR(S): Konishiike, Isamu; Kawase, Kenichi

PATENT ASSIGNEE(S): SOURCE:

Sony Corporation, Japan U.S. Pat. Appl. Publ., 17pp.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
	 US 2007092797	A1	20070426	US 2006-552434	
	JP 2007122915	A	20070517	JP 2005-309997	200610 24
PRIOR	RITY APPLN. INFO.:			JP 2005-309997 A	200510 25
TICLOI	ATT ATTEM. INTO			11 2003 30333, 11	200510 25

Ab attery using the anode, and methods of manufacturing the anode and the battery are provided. The battery is capable of relaxing stress while securing the contact characteristics between an anode current collector and an anode active material layer, and capable of improving the characteristics. The anode active material layer containing Si as an element is provided on the anode current collector. The anode active material layer includes a first anode active material layer having a first particle formed by being grown on the anode current collector by vapor-phase deposition method and a second anode active material layer having a second particle with an average particle diameter ranging from 0.2 .mu.m to 20 μ m which is deposited on the first anode active material layer by coating the first anode active material layer with the second particles.

IT **7440-21-3**, Silicon, uses

RL: TEM (Technical or engineered material use); USES (Uses) (methods of fabrication of battery anodes)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

INCL 429218100; 429200000; 427058000; 029623500

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT 872-36-6, 1,3-Dioxol-2-one **7440-21-3**, Silicon, uses

7782-44-7, Oxygen, uses 114435-02-8, 4-Fluoro-1,3-dioxolan-2-one

RL: TEM (Technical or engineered material use); USES (Uses)

(methods of fabrication of battery anodes)

L36 ANSWER 2 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2007:257561 HCAPLUS Full-text

DOCUMENT NUMBER:

146:277797

TITLE:

Lithium secondary batteries

INVENTOR(S):

Fukui, Atsushi; Minami, Hiroshi; Kusumoto,

7

Yasuyuki

PATENT ASSIGNEE(S):

Japan

SOURCE:

U.S. Pat. Appl. Publ., 17pp.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
				. –	
US 2007054190	A1	20070308	US 2006-516523		200609
JP 2007073334	А	20070322	JP 2005-259089		07 200509
CN 1929167	А	20070314	CN 2006-10128177		07 200609
PRIORITY APPLN. INFO.:			JP 2005-259089 .	Α	06 200509
					. 07

This battery consists of a cathode, an anode and a nonaq. electrolyte. The anode has current collector and a mixture layer containing a conductive agent, a binder and anode- active Si-containing material. The anode mixture layer is sintered and disposed on the anode current collector. The anode active material particles have an average particle size of 5.0-15.0 .mu.m before being charged. The anode conductive agent is made of a graphite material having an average particle size of 2.5-15.0 .mu.m. The amount of the graphite material added is from 3-20% with respect to the anode active material. The theor. elec. capacity ratio of the cathode to the anode is 1.0 or less.

IT **7440-21-3**, Silicon, uses

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(anode material for lithium secondary batteries)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

INCL 429218100; 429232000; 429217000

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT 7440-21-3, Silicon, uses

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(anode material for lithium secondary batteries)

L36 ANSWER 3 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2006:1031492 HCAPLUS Full-text

DOCUMENT NUMBER:

145:360198

TITLE:
INVENTOR(S):

Secondary nonaqueous electrolyte battery Itaya, Masaharu; Fukui, Atsushi; Sawa,

Shouichirou; Kusumoto, Yasuyuki; Fujimoto,

Masahisa

8

PATENT ASSIGNEE(S):

Sanyo Electric Co., Ltd., Japan

SOURCE:

PCT Int. Appl., 30pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                        KIND
                               DATE
                                          APPLICATION NO.
                                                                  DATE
                        ----
                                           ______
                               20061005 WO 2006-JP301843
    WO 2006103829
                        Α1
                                                                  200602
                                                                  03
            AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA,
            CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
            GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, KE, KG, KM, KN,
            KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK,
            MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO,
            RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ,
            UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU,
            IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD,
            TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
            ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
     JP 2006278124
                         Α
                               20061012 JP 2005-95091
                                                                  200503
                                                                  29
                                           JP 2005-95091
PRIORITY APPLN. INFO.:
                                                                  200503
                                                                  29
```

AB The battery has an anode containing Si as an anode active material, a cathode, and a nonaq. electrolyte solution; where the anode active mass has an average particle diameter 5-20 .mu.m and

further contains CO; the weight of the anode active mass is $\geq 10\%$ of the electrolyte solution; and the weight of the CO2 is $\leq 3.7\%$ of the anode active mass. 7440-21-3, Silicon, uses

RL: DEV (Device component use); PRP (Properties); USES (Uses) (anodes containing silicon with controlled particle size and amount)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

ΤТ

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT Battery anodes

Secondary batteries

(anodes containing silicon with controlled particle size and amount)

size and amount)

IT 7440-21-3, Silicon, uses

RL: DEV (Device component use); PRP (Properties); USES (Uses)

(anodes containing silicon with controlled particle

size and amount)

IT 124-38-9, Carbon dioxide, miscellaneous

RL: MSC (Miscellaneous)

(anodes containing silicon with controlled particle

size and amount)

REFERENCE COUNT:

THERE ARE 7 CITED REFERENCES AVAILABLE FOR

THIS RECORD. ALL CITATIONS AVAILABLE IN

THE RE FORMAT

L36 ANSWER 4 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2006:819464 HCAPLUS Full-text

DOCUMENT NUMBER:

145:214415

TITLE:

Manufacture of silicon-containing anode

active mass for secondary lithium

batteries, and same anodes and batteries

INVENTOR(S):

Asao, Masaya; Kawakami, Soichiro

PATENT ASSIGNEE(S):

Canon Inc., Japan

SOURCE:

Jpn. Kokai Tokkyo Koho, 23pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2006216277	А	20060817	JP 2005-25589	200502 01
PRIORITY APPLN. INFO.:			JP 2005-25589	200502 01

- The anode active mass is manufactured by a process consisting of steps of (1) wet pulverizing Si-based powders into fine particles with average diameter of 0.1-0.5. mu.m and BET sp. surface area of 30-100 m2/g, and (2) dry pulverizing the resultant Si-based fine particles, carbon powders, and metal powders containing ≥1 elements selected from Sn, Al, Zn, In, Bi, Pb, and Mg to give composite particles with average diameter of 0.6-20 .mu.m and sp. surface area of 0.1-29 m2/g. In the dry pulverizing step, metal powders containing ≥1 elements selected from Ag, Co, Ni, Cu, Fe, Mn, W, V, Mo, Nb, Ti, Zr, Cr, Ta, Y, La, and Se may also be added. The batteries show high discharge capacity per unit volume of the anode active mass, and excellent charge-discharge cycling characteristics.
- IT **7440-21-3**, Silicon, uses

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process) (powder, composites with carbon and metals, anode active mass; manufacture of secondary lithium battery

anode active mass containing composite powders of

silicon, metals, and carbon)

- RN 7440-21-3 HCAPLUS
- CN Silicon (CA INDEX NAME)

Si CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology) ΙT Pulverization (dry; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) ΙT Composites (from silicon, carbon, and metals, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) TΤ Secondary batteries (lithium; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) ITBattery anodes (manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) ΙT Pulverization (wet; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) ITSilicon alloy, base RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses) (powder, composites with carbon and metals, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) 7439-89-6, Iron, uses ΙT 7440-50-8, Copper, powder, uses RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process) (composites with silicon and carbon and metals, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) 7440-31-5, Tin, powder, uses ITRL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process) (composites with silicon and carbon, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) 7429-90-5, Aluminum, powder, uses ΙT RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process) (powdered, composites with silicon and carbon, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon) ΤТ **7440-21-3**, Silicon, uses RL: DEV (Device component use); PEP (Physical, engineering or

chemical process); PYP (Physical process); PROC (Process) (powder, composites with carbon and metals, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of

silicon, metals, and carbon)

IΤ 7439-91-0, Lanthanum, uses 7439-96-5, Manganese, uses 7439-98-7, Molybdenum, uses 7440-02-0, Nickel, uses 7440-03-1, Niobium, 7440-22-4, Silver, uses 7440-25-7, Tantalum, uses 7440-32-6, Titanium, uses 7440-33-7, Tungsten, uses 7440-36-0, Antimony, uses 7440-42-8, Boron, uses 7440-47-3, Chromium, uses 7440-48-4, Cobalt, uses 7440-62-2, Vanadium, uses 7440-65-5, 7440-67-7, Zirconium, uses 7723-14-0, Phosphorus, Yttrium, uses 7782-49-2, Selenium, uses RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process) (powder, composites with silicon and carbon and metals, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon)

TT 7439-92-1, Lead, uses 7439-95-4, Magnesium, uses 7440-66-6, Zinc, uses 7440-69-9, Bismuth, uses 7440-74-6, Indium, uses RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process) (powder, composites with silicon and carbon, anode active mass; manufacture of secondary lithium battery anode active mass containing composite powders of silicon, metals, and carbon)

L36 ANSWER 5 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2006:519744 HCAPLUS Full-text

DOCUMENT NUMBER:

145:66260

TITLE:

Core/shell structure active material

of negative electrode of secondary lithium battery

INVENTOR(S):

Li, Hong; Hu, Jin; Huang, Xuejie; Chen, Liquan

PATENT ASSIGNEE(S):

Institute of Physics, Chinese Academy of

Sciences, Peop. Rep. China

SOURCE:

Faming Zhuanli Shenqing Gongkai Shuomingshu, 24

pp.

CODEN: CNXXEV

DOCUMENT TYPE:

Patent

LANGUAGE:

Chinese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
CN 1681145	A	20051012 -	CN 2004-10030990	200404 05
PRIORITY APPLN. INFO.:			CN 2004-10030990	200404 05

AB Title active material, with particle size of 100 nm to 100 .mu.m, comprises core and shell; wherein the core comprises composite particles including 20-95 wt% of

12

```
10 nm to 50 .mu.m spherical powder selected from one or more of silicon and
     lithium storage transition metal compound (with thermodn. equilibrium potential
     less than 1.5 V) of VO, V2O3, VO2, CrO, Mn2O3, Nb2O5, etc., and conductive
     additives (such as graphite powder); and the shell comprises at least one
     continuous carbon layer. .
     7440-21-3, Silicon, uses
     RL: TEM (Technical or engineered material use); USES (Uses)
        (core/shell structure active material of neg.
        electrode of secondary lithium battery)
     7440-21-3 HCAPLUS
CN
     Silicon (CA INDEX NAME)
Si
     ICM H01M004-36
     ICS H01M004-62; H01M004-58; H01M004-02
     52-2 (Electrochemical, Radiational, and Thermal Energy Technology)
     active material neg electrode
     secondary lithium battery
     Nanotubes
        (carbon; core/shell structure active material of
        neg. electrode of secondary lithium battery)
     Carbon black, uses
     RL: NUU (Other use, unclassified); USES (Uses)
        (conductive additive, core/shell structure active
        material of neg. electrode of secondary
        lithium battery)
     Secondary batteries
        (lithium; core/shell structure active material of
        neg. electrode of secondary lithium battery)
     7782-42-5, Graphite, uses
     RL: NUU (Other use, unclassified); USES (Uses)
        (conductive additive, core/shell structure active
        material of neg. electrode of secondary
        lithium battery)
     1308-38-9, Chromic oxide, uses 1313-96-8, Niobium pentaoxide
     1314-34-7, Vanadium trioxide 1317-34-6, Manganese trioxide
     1344-43-0, Manganese oxide (MnO), uses 7440-21-3, Silicon,
           12018-00-7, Chromium oxide (CrO) 12018-34-7, Chromium oxide
             12034-57-0, Niobium oxide (NbO) 12034-59-2, Niobium
     (Cr3O4)
             12035-98-2, Vanadium oxide (VO) 12036-21-4, Vanadium
     dioxide
     dioxide
     RL: TEM (Technical or engineered material use); USES (Uses)
        (core/shell structure active material of neg.
        electrode of secondary lithium battery)
     7440-44-0, Carbon, uses
     RL: NUU (Other use, unclassified); USES (Uses)
        (nanotubes; core/shell structure active material of
        neg. electrode of secondary lithium battery)
L36 ANSWER 6 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN
                         2006:321854 HCAPLUS Full-text
ACCESSION NUMBER:
DOCUMENT NUMBER:
                         144:334307
                         Anodes for secondary lithium batteries with
TITLE:
                         improved initial charge discharge efficiency
                         Kusumoto, Yasuyuki; Torimae, Mariko; Itaya,
INVENTOR(S):
```

Shoji; Sayama, Katsunobu

ΙT

RN

IC

CC ST

IT

TT

ΙT

ΙT

ΙT

IΤ

PATENT ASSIGNEE(S):

Sanyo Electric Co., Ltd., Japan

SOURCE:

Jpn. Kokai Tokkyo Koho, 11 pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent Japanese

LANGUAGE:

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
				
JP 2006092928	A	20060406	JP 2004-277452	200409 24
PRIORITY APPLN. INFO.:			JP 2004-277452	200409 24

The anodes have Si (alloy) active mass particles and electroconductive agents AΒ containing magnetic metal particles with average particle size ≤ 1 μ m in anode mix layers. The anodes show suppressed internal resistance.

7440-21-3, Silicon, uses IT

> RL: DEV (Device component use); USES (Uses) (anodes for secondary lithium batteries with improved initial charge discharge efficiency)

7440-21-3 HCAPLUS

Silicon (CA INDEX NAME) CN

Si

52-2 (Electrochemical, Radiational, and Thermal Energy Technology) CC

7439-89-6, Iron, uses 7440-02-0, Nickel, uses **7440-21-3**, ΤТ

7440-48-4, Cobalt, uses Silicon, uses

RL: DEV (Device component use); USES (Uses)

(anodes for secondary lithium batteries with improved

initial charge discharge efficiency)

L36 ANSWER 7 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN 2004:1019056 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER:

142:9210

TITLE:

Anode material for secondary nonaqueous

electrolyte battery, its manufacture, and the

battery which uses the material

INVENTOR(S):

Zhang, Shou-wu; Kuba, Kanji; Watarai, Yusuke

Mitsubishi Materials Corp., Japan PATENT ASSIGNEE(S): Jpn. Kokai Tokkyo Koho, 10 pp. SOURCE:

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
 JP 2004335335	А	20041125	JP 2003-131275	200305

PRIORITY APPLN. INFO.:

JP 2003-131275

200305 09

09

AB The anode material comprises composite particles, having ceramics coated on a part of Li-intercalating mineral particles; where the mineral particles contain Si, Sn and/or Zn as constituent element; and the ceramics are composed of an oxide, a nitride, or a carbide, which contains Si, Ti, Al and/or Zr, and covers 20-95%total surface of the mineral particles. The anode material is manufactured by preparing 0.02-20 .mu.m average particle

sized mineral particles, comprising ≥1 substance selected from Si, metal silicide, B doped Si, P doped Si, Zn, Sn, Zn containing solid solution, Sn containing solid solution, Zn containing intermetallic compds., and Sn containing intermetallic compds.; soling a precursor organic mol. solution, which contains Si, Ti, Al and/or Zr, by hydrolysis reaction and dehydrative polycondensation; mixing the mineral particles with the sol to coat the sol on the mineral particles; gelatinizing the sol; and firing the gel in a nonoxidative atmospheric at 600-1300° for 0.5-3 h to form composite particles which have the ceramics coated on a part of the mineral particles. The battery uses the above material as an anode active mass.

7440-21-3, Silicon, uses 7440-21-3D, Silicon, B TΤ doped

RL: DEV (Device component use); USES (Uses) (manufacture of anode materials containing ceramics coated mineral particle for secondary batteries)

7440-21-3 HCAPLUS RN

Silicon (CA INDEX NAME) CN

Si

RN 7440-21-3 HCAPLUS Silicon (CA INDEX NAME) CN

Si

TC ICM H01M004-38 ICS H01M004-02; H01M010-40

52-2 (Electrochemical, Radiational, and Thermal Energy Technology) CC

1344-28-1, Alumina, uses 7440-21-3, Silicon, uses 7440-21-3D, Silicon, B doped 7440-21-3D, Silicon, P doped 12019-69-1 12688-08-3, Carbon titanium oxide 39345-87-4, Silicon carbide oxide 171089-01-3, Iron silicide (Fe0.2Si0.8)

RL: DEV (Device component use); USES (Uses) (manufacture of anode materials containing ceramics coated mineral particle for secondary batteries)

L36 ANSWER 8 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN 2004:1019055 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER:

142:9209

Anode material for secondary nonaqueous TITLE:

electrolyte battery, its manufacture, and the

15

battery which uses the material

INVENTOR(S):

SOURCE:

Chang, Shou-Bin; Kuba, Kanji; Watarai, Yusuke

PATENT ASSIGNEE(S):

Mitsubishi Materials Corp., Japan

Jpn. Kokai Tokkyo Koho, 12 pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent Japanese

LANGUAGE:

Uapai

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2004335334	A	20041125	JP 2003-131274	200305 09
PRIORITY APPLN. INFO.:			JP 2003-131274	200305

The anode material comprises composite particles, having ceramics coated on a part or whole part of mineral particles which are capable of intercalating/decalating Li+; where the mineral particles contain Si, Sn and/or Zn as constituent element; and the ceramics are composed of an oxide, a nitride, or a carbide, which contains Si, Ti, Al and/or Zr. The anode material is manufactured by preparing 0.02-20 .mu.m average particle

sized mineral particles, comprising ≥ 1 substance selected from Si, metal silicide, B doped Si, P doped Si, Zn, Sn, Zn containing solid solution, Sn containing solid solution, Zn containing intermetallic compds., and Sn containing intermetallic compds.; mixing the mineral particles with a precursor organic mol. solution, containing Si, Ti, Al and/or Zr; gelatinizing the mixture by hydrolysis reaction and dehydrative polycondensation; firing the gel mixture in a nonoxidative atmospheric at $600-1300^{\circ}$ for 0.5-3 h to form composite particles which have the ceramics coated on a part or whole part of the mineral particles. The battery uses the above material as an **anode active** mass.

TT 7440-21-3, Silicon, uses 7440-21-3D, Silicon, B

doped

RL: DEV (Device component use); USES (Uses)
(manufacture of **anode** materials containing ceramics coated mineral particle for secondary batteries)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-38

ICS H01M004-02; H01M004-62; H01M010-40

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT 1344-28-1, Alumina, uses 7440-21-3, Silicon, uses
7440-21-3D, Silicon, B doped 7440-21-3D, Silicon,
P doped 12019-69-1 12688-08-3, Carbon titanium oxide
39345-87-4, Silicon carbide oxide 171089-01-3, Iron silicide
(Fe0.2Si0.8)

RL: DEV (Device component use); USES (Uses)
(manufacture of **anode** materials containing ceramics coated mineral particle for secondary batteries)

L36 ANSWER 9 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2004:905470 HCAPLUS Full-text

DOCUMENT NUMBER:

141:382156

TITLE:

Method of preparation of anode

active material for rechargeable lithium

batterv

INVENTOR(S):

Sheem, Kyou-yoon; Matsubara, Keiko; Tsuno,

Toshiaki; Takamuku, Akira

PATENT ASSIGNEE(S):

S. Korea

SOURCE:

U.S. Pat. Appl. Publ., 12 pp.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

	PATENT NO.	KIND	DATE	APPLICATION NO.	_	DATE
	US 2004214085	A1	20041028	US 2004-752300	/	200401
	JP 2004214054	А	20040729	JP 2003-446		200301
	JP 3827642 KR 2004063802	B2 A	20060927 20040714	KR 2004-262		200401
PRIO	RITY APPLN. INFO.:		·	JP 2003-446	A	200301 06
				KR 2004-262	A	200401 05

Disclosed is a neg. active material for a lithium rechargeable battery which includes an aggregate of Si porous particles, wherein the porous particles are formed with a plurality of voids therein, wherein the voids have an average diameter of between 1 nm and 10 . mu.m, and the aggregate has an average particle size of between 1 .mu.m and 100 .mu.m.

IT 7440-21-3, Silicon, uses

RL: DEV (Device component use); USES (Uses)
(porous particles; method of preparation of anode
active material for rechargeable lithium battery)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

17

ICM H01M004-40 TC ICS H01M004-58 INCL 429218100; 429221000; 429223000; 429220000; 429231950; 429231500; 252182100 52-2 (Electrochemical, Radiational, and Thermal Energy Technology) Section cross-reference(s): 56 ST anode active material rechargeable lithium battery ΙT Secondary batteries (lithium; method of preparation of anode active material for rechargeable lithium battery) ΙT Battery anodes Voids (structures) (method of preparation of anode active material for rechargeable lithium battery) 12661-90-4 ΙT 11099-22-2 11107-19-0 11148-21-3 12645-62-4 39365-72-5 50944-37-1 50955-74-3 56728-61-1 58977-56-3 69255-78-3 71818-44-5 88872-55-3 RL: DEV (Device component use); USES (Uses) (method of preparation of anode active material for rechargeable lithium battery) ΙT 12201-89-7P, Nickel silicide (NiSi2) RL: DEV (Device component use); SPN (Synthetic preparation); PREP (Preparation); USES (Uses) (method of preparation of anode active material for rechargeable lithium battery) ΙT 7440-21-3, Silicon, uses RL: DEV (Device component use); USES (Uses) (porous particles; method of preparation of anode active material for rechargeable lithium battery) L36 ANSWER 10 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN 2004:612384 HCAPLUS Full-text ACCESSION NUMBER: 141:159850 DOCUMENT NUMBER: Anode active mass for TITLE: secondary lithium battery its manufacture, and the battery Matsubara, Keiko; Tsuno, Toshiaki; Takakura, INVENTOR(S): Akira; Shin, Hatsu Koh Samsung SDI Co., Ltd., S. Korea PATENT ASSIGNEE(S): Jpn. Kokai Tokkyo Koho, 15 pp. SOURCE: CODEN: JKXXAF DOCUMENT TYPE: Patent Japanese LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2004214054	А	20040729	JP 2003-446	200301 06
JP 3827642 KR 2004063802	B2 A	20060927 20040714	KR 2004-262	200401

18

CN 1518144	А	20040804	CN	2004-10005090		05
		20010001	011	2001 10000030		200401 06
US 2004214085	A1	20041028	US	2004-752300		
						200401 06
PRIORITY APPLN. INFO.:			JP	2003-446	A	200301
						06
•			KR	2004-262	A	
						200401 05

AB The active mass comprises aggregates of porous particles, consisting of Si, and a plurality of voids formed inside of the particles having average pore size 10 nm-10 .mu.m; where the aggregates have an average particle size of 1-100 . mu.m. The active mass is manufactured by forming a quenched alloy by rapidly cooling an alloy melt, containing Si and ≥1 M element selected from Sn, Al, Pb, In, Ni, Co, Ag, Mn, Cu, Ge, Cr, Ti and Fe; removing the M element contained in the alloy by eluting the alloy with a M element dissolvable acid or alkali to obtain aggregates of Si porous particles. The battery has the above anode active mass.

IT 7440-21-3, Silicon, uses

RL: CPS (Chemical process); DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(manufacture of **anode active** mass containing porous silicon particle aggregates for secondary lithium batteries)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

TΤ

IC ICM H01M004-38

ICS H01M004-02; H01M010-40

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT Secondary batteries

(lithium; manufacture of **anode active** mass containing porous silicon particle aggregates for secondary lithium batteries)

IT Battery anodes

(manufacture of **anode active** mass containing porous silicon particle aggregates for secondary lithium batteries)

IT 7429-90-5, Aluminum, uses 7440-02-0, Nickel, uses

7440-21-3, Silicon, uses

RL: CPS (Chemical process); DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(manufacture of anode active mass containing porous

silicon particle aggregates for secondary lithium batteries) 7647-01-0, Hydrochloric acid, processes 7664-93-9, Sulfuric acid, processes

RL: CPS (Chemical process); PEP (Physical, engineering or chemical process); PROC (Process)

(manufacture of anode active mass containing porous silicon particle aggregates for secondary lithium batteries)

19

11099-22-2 12201-89-7, Nickel silicide (NiSi2)

RL: CPS (Chemical process); PEP (Physical, engineering or chemical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(manufacture of anode active mass containing porous silicon particle aggregates for secondary lithium batteries)

L36 ANSWER 11 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

2001:579456 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER: 135:155233

TITLE: Graphite for battery anode, its manufacture, and

secondary lithium battery using it

Sugiura, Tsutomu; Kono, Taro; Hamada, Takeshi; INVENTOR(S):

Shoji, Hiromasa

PATENT ASSIGNEE(S):

Nippon Steel Corp., Japan Jpn. Kokai Tokkyo Koho, 9 pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

SOURCE:

Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
 JP 2001216964	А	20010810	JP 2000-24180	200002
DDTODING ADDING INDO			JP 2000-24180	01
PRIORITY APPLN. INFO.:			JP 2000-24160	200002 01

AΒ The graphite has (1) rate of scale-like particles with particle size ≥ 4 and $\leq 200~\mu$ m in the total particles with particle size ≥4 and <200 .mu.m \leq 80%, (2) C content \geq 90 weight%, (3) BET sp. surface area \leq 7 m2/g, and (4) average particle size ≥4 and <200 .mu.m. The graphite is manufactured by the following steps: (1) purifying C precipitated during cooling of molten mixture of metal and C, (2) milling the resulting a C material with C content ≥90 weight% by using impeller mill, jet mill, Raymond mill, or ball mill, and (3) classifying the milled material with air. The metal-C mixture may be molten pig iron. The title battery using the graphite as anode active mass is also claimed. The graphite has high discharge capacity and the battery has long cycle life.

7440-21-3, Silicon, uses ΙΤ

> RL: NUU (Other use, unclassified); USES (Uses) (purification, milling, and classification of C precipitated from metal-C molten mixture for manufacturing graphite with high discharge capacity for Li battery anode)

7440-21-3 HCAPLUS RN

Silicon (CA INDEX NAME) CN

Si

IC ICM H01M004-58

ICS C01B031-04; H01M004-02; H01M004-04; H01M010-40

52-2 (Electrochemical, Radiational, and Thermal Energy Technology) CC Section cross-reference(s): 54

20

7440-02-0, Nickel, uses **7440-21-3**, ΙT 7439-89-6, Iron, uses

7440-48-4, Cobalt, uses Silicon, uses

RL: NUU (Other use, unclassified); USES (Uses)

(purification, milling, and classification of C precipitated from metal-C molten mixture for manufacturing graphite with high discharge capacity for Li battery anode)

L36 ANSWER 12 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2001:579455 HCAPLUS Full-text

DOCUMENT NUMBER: 135:155232

Graphite for battery anode, its manufacture, and

secondary lithium battery using it

INVENTOR(S): Sugiura, Tsutomu; Kono, Taro; Hamada, Takeshi;

Shoji, Hiromasa

PATENT ASSIGNEE(S):

Nippon Steel Corp., Japan SOURCE: Jpn. Kokai Tokkyo Koho, 8 pp.

CODEN: JKXXAF Patent

DOCUMENT TYPE: LANGUAGE:

TITLE:

Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2001216963	A	20010810	JP 2000-24179	
				200002 01
PRIORITY APPLN. INFO.:			JP 2000-24179	O1
				200002
				01

The graphite has C content ≥90 and <99 weight% and average particle size ≤200 AΒ .mu.m

The graphite is manufactured by the following steps: (1) purifying C precipitated during cooling of molten mixture of metal and C, (2) milling the purified C by using impeller mill, jet mill, Raymond mill, or ball mill, and (3) classifying the milled C with air. The metal-C mixture may be steelmaking dust. The title battery using the graphite as anode active mass is also claimed. The graphite has high discharge capacity and the battery has long cycle life.

7440-21-3, Silicon, uses ΙT

> RL: NUU (Other use, unclassified); USES (Uses) (purification, milling, and classification of C precipitated from metal-C molten mixture for manufacturing graphite with high discharge capacity for Li battery anode)

7440-21-3 HCAPLUS RN

Silicon (CA INDEX NAME) CN

Si

ICM H01M004-58 IC

ICS C01B031-04; H01M004-02; H01M004-04; H01M010-40

52-2 (Electrochemical, Radiational, and Thermal Energy Technology) CCSection cross-reference(s): 55

7440-02-0, Nickel, uses **7440-21-3**, IT7439-89-6, Iron, uses 7440-48-4, Cobalt, uses Silicon, uses

RL: NUU (Other use, unclassified); USES (Uses)

21

(purification, milling, and classification of C precipitated from metal-C molten mixture for manufacturing graphite with high discharge capacity for Li battery anode)

L36 ANSWER 13 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

1999:572133 HCAPLUS Full-text

DOCUMENT NUMBER:

131:172716

TITLE:

Electrodes, secondary batteries, and their

manufacture

INVENTOR(S):

Kawakami, Soichiro; Asao, Masaya; Kobayashi,

Naoya; Kosuzu, Takeshi; Kimura, Hironao

PATENT ASSIGNEE(S):

Canon K. K., Japan

SOURCE:

Jpn. Kokai Tokkyo Koho, 50 pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT NO.		KIND	DATE	APPLICATION NO.		DATE
- Ј	 P 11242954	A	19990907	JP 1998-30642		199801 28
_	P 3619000 A 2228095	B2 A1	20050209 19980728	CA 1998-2228095		20
C	A 2220095	AI	19960726	CA 1990-2220093		199801 28
С	A 2228095	C	20020108			
U	S 6432585	В1	20020813	US 1998-14408		
						199801 28
J	P 2005044814	A	20050217	JP 2004-290296		200410
DDIADI	TY APPLN. INFO.:			JP 1997-13942	Α	01
PRIORI	TY APPLN. INFO.:			JP 1997-13942	А	199701 28
		,		JP 1997-369371	A	199712 27
				JP 1998-30642	А3	199801 28

- The electrodes have a collector plate and active mass layers, containing ≥35% main component having average particle diameter 0.5-60 .mu.m, covering both sides of the collector. The active mass layer may have 10-86% porosity, the collector may have protrusions on their surface, and the main component contains Si, Ge, Sn, Pb, In, Mg, and/or Zn. The batteries are secondary batteries using the above electrodes as anodes. The electrodes and the secondary batteries using the anodes are prepared by forming the active mass layer on the collector, e.g., by painting or plating.
- IT **7440-21-3**, Silicon, uses

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(compns. and structure and manufacture of anodes with

controlled active mass particle diams

. for batteries) RN 7440-21-3 HCAPLUS CN Silicon (CA INDEX NAME) Si IC ICM H01M004-02 ICS H01M004-02; H01M004-04; H01M004-38; H01M004-58; H01M004-62; H01M004-66; H01M004-70; H01M010-24; H01M010-40; H01M012-08 52-2 (Electrochemical, Radiational, and Thermal Energy Technology) CC IT Battery anodes (compns. and structure and manufacture of anodes with controlled active mass particle diams . for batteries) ΙT Fluoropolymers, uses Polyanilines RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (compns. and structure and manufacture of anodes with controlled active mass particle diams . for batteries) Secondary batteries TΤ (lithium; compns. and structure and manufacture of anodes with controlled active mass particle diams. for batteries) 1313-99-1, Nickel oxide (NiO), uses 1314-13-2, Zinc oxide, uses 7429-90-5, Aluminum, uses 7440-02-0, Nickel, uses 7440-21-3, Silicon, uses 7440-31-5, Tin, uses 7440-44-0, Carbon, uses 7440-50-8, Copper, uses 7440-69-9, Bismuth, uses 7440-74-6, Indium, uses 7782-42-5, Graphite, uses 24937-79-9 25232-41-1, Poly(4-vinylpyridine) 37233-35-5 50926-11-9, Ito 145225-67-8 187674-56-2 RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses) (compns. and structure and manufacture of anodes with controlled active mass particle diams . for batteries) L36 ANSWER 14 OF 14 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 1995:746153 HCAPLUS Full-text DOCUMENT NUMBER: 123:148980 New anode active alloys for TITLE: secondary alkaline batteries and the alloy anodes INVENTOR(S): Sakamoto, Yoshiichi; Kuruma, Kenichiro; Hirano, Sadayuki; Hirata, Masahiro PATENT ASSIGNEE(S): Tanaka Precious Metal Ind., Japan SOURCE: Jpn. Kokai Tokkyo Koho, 4 pp. CODEN: JKXXAF DOCUMENT TYPE: Patent Japanese LANGUAGE: FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: APPLICATION NO. DATE PATENT NO. DATE KIND

10/721,280 23

JP 07094187 A 19950407 JP 1993-261560

199309

24

PRIORITY APPLN. INFO.: JP 1993-261560

199309 24

The alloys are powders having particle diameter ≤100 .mu.m and are composed of a lst transition metal containing d electrons and 20-50 atomic% a 2nd transition metal whose d orbit is incompletely or semi-completely filled. The 1st metal is Co or Fe and the 2nd metal is Mo or W. The alloys may also contain ≤5 atomic% B, ≤10 atomic% Si, or ≤20 weight% powdered Al2O3 or SiO2 having particle diameter ≤ 100 .mu.m. The anodes are prepared by press molding a mixture of the alloy powder and 4-10% PTFE.

IT 7440-21-3, Silicon, uses

RL: MOA (Modifier or additive use); USES (Uses)

(additives in anode active alloys for

secondary alkaline nickel batteries and the alloy anodes)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-52

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT 1344-28-1, Alumina, uses 7440-21-3, Silicon, uses 7440-42-8, Boron, uses 7631-86-9, Silica, uses RL: MOA (Modifier or additive use); USES (Uses)

(additives in anode active alloys for

secondary alkaline nickel batteries and the alloy anodes)

IT 73482-78-7 166798-17-0 166798-18-1 166798-19-2 166798-20-5

RL: DEV (Device component use); USES (Uses)
(anode active alloys for secondary alkaline nickel batteries and the alloy anodes)

=> d 135 ibib abs hitstr hitind

L35 ANSWER 1 OF 1 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2002:936873 HCAPLUS Full-text

DOCUMENT NUMBER: 138:140003

TITLE: SiOx-based anodes for secondary lithium

batteries

AUTHOR(S): Yang, J.; Takeda, Y.; Imanishi, N.; Capiglia,

C.; Xie, J. Y.; Yamamoto, O.

CORPORATE SOURCE: Department of Chemistry, Mie University, Mie,

Tsu, 514-8507, Japan

SOURCE: Solid State Ionics (2002), 152-153, 125-129

CODEN: SSIOD3; ISSN: 0167-2738

PUBLISHER: Elsevier Science B.V.

DOCUMENT TYPE: Journal LANGUAGE: English

AB Silicon oxide powders with different oxygen contents and **particle sizes** have been examined as anode materials for lithium-ion batteries. SiOO.8 electrode can provide a reversible capacity of .apprx.1600 mA-h/g over a voltage range 0.02-1.4

10/721,280 24

V vs. Li. The capacity drops with the increase in the oxygen content. Limited lithium insertion, however, alleviates the host volume expansion and thereby significantly improves the cyclability. In addition, the cycle performance is also dependent on the electrode fabrication method. By powder mixing and pressing, the electrode shows a larger insertion capacity, however the use of N-methylpyrrolidone solvent for dispersing poly(vinylidene difluoride) binder suppresses the capacity fade on cycling.

IT 107875-69-4, Silicon oxide (SiO1.1) 113443-18-8,

Silicon oxide (SiO)

RL: DEV (Device component use); USES (Uses)

(silicon oxide-based anodes for lithium secondary

batteries)

RN 107875-69-4 HCAPLUS

CN Silicon oxide (SiO1.1) (CA INDEX NAME)

Component	 1	Ratio		Component Registry Number
0	==+== 	1.1	===+=: 	17778-80-2
Si	1	1	1	7440-21-3

RN 113443-18-8 HCAPLUS

CN Silicon oxide (SiO) (CA INDEX NAME)

Component		Ratio	1	Component
			1	Registry Number
	==+==		==+=	
0		1		17778-80-2
Si	- 1	1	- 1	7440-21-3

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

T 106496-83-7, Silicon oxide (SiOO.8) 107875-69-4, Silicon

oxide (SiO1.1) 113443-18-8, Silicon oxide (SiO)

RL: DEV (Device component use); USES (Uses)

(silicon oxide-based anodes for lithium secondary

batteries)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE

FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

=> d 137 ibib abs hitstr hitind 1-10

L37 ANSWER 1 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2007:505188 HCAPLUS Full-text

DOCUMENT NUMBER:

146:484524

TITLE:

Anode active material and

battery

INVENTOR(S):

Kawase, Kenichi; Takada, Tomoo; Yamamoto,

Kensuke

PATENT ASSIGNEE(S): SOURCE:

Sony Corporation, Japan U.S. Pat. Appl. Publ., 10pp.

CODEN: USXXCO

DOCUMENT TYPE: LANGUAGE:

Patent English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

```
A1
                                20070510
                                            US 2006-555098
     US 2007105017
                                                                    200610
                                                                    31
     JP 2007128766
                          Α
                                20070524
                                             JP 2005-321015
                                                                    200511
                                                                    04
PRIORITY APPLN. INFO.:
                                             JP 2005-321015
                                                                    200511
                                                                    04
```

- An anode active material capable of preventing shape deformation due to expansion and shrinkage and a battery using the anode are provided. An anode active material layer contains a powdery anode active material containing Si or Sn as an element. The average degree of circularity of the anode active material is 0.90 or less. By decreasing the average degree of circularity, the surface area becomes wide, and the reactive region becomes large. As a result, an intense local reaction is prevented, and the number of cracks resulting from expansion and shrinkage are reduced.
- IT **7440-21-3**, Silicon, uses

RL: TEM (Technical or engineered material use); USES (Uses) (anode active material and battery)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

7440-44-0, Carbon, uses

Si

ΙT

```
INCL 429218100; 252182100
     52-2 (Electrochemical, Radiational, and Thermal Energy Technology)
     Section cross-reference(s): 56
ST
     anode active material battery
ΙT
     Battery anodes
       Particle size
     Secondary batteries
        (anode active material and battery)
ΙT
     Fluoropolymers, uses
     RL: MOA (Modifier or additive use); USES (Uses)
        (anode active material and battery)
ΙT
     Metals, uses
     RL: TEM (Technical or engineered material use); USES (Uses)
        (anode active material and battery)
ΤТ
     Semimetals
     RL: TEM (Technical or engineered material use); USES (Uses)
        (anode active material and battery)
     24937-79-9, Polyvinylidene fluoride
IT
     RL: MOA (Modifier or additive use); USES (Uses)
        (anode active material and battery)
ΙT
     7440-21-3, Silicon, uses
                                7440-31-5, Tin, uses
     936020-26-7
                 936020-27-8
     RL: TEM (Technical or engineered material use); USES (Uses)
        (anode active material and battery)
     7782-42-5, Graphite, uses
IT
     RL: TEM (Technical or engineered material use); USES (Uses)
        (artificial; anode active material and
        battery)
```

RL: TEM (Technical or engineered material use); USES (Uses)

(mesocarbon microbeads, graphitized; anode active material and battery)

L37 ANSWER 2 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2006:1141204 HCAPLUS Full-text

DOCUMENT NUMBER:

145:474786

TITLE:

Method for preparing metal-embedded active

carbon microsphere composite with high capacity and good cycle performance as lithium secondary

battery anode material

INVENTOR(S):

Wang, Ke; He, Xiangming; Ren, Jianguo; Wang, Li;

Li, Jianjun; Pu, Weihua; Jiang, Changyin; Wan,

Chunrong

PATENT ASSIGNEE(S):

Tsinghua University, Peop. Rep. China

SOURCE:

Faming Zhuanli Shenqing Gongkai Shuomingshu,

9pp.

CODEN: CNXXEV

DOCUMENT TYPE:

Patent

LANGUAGE:

Chinese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
 CN 1851961	А	20061025	CN 2006-10012014	200605
PRIORITY APPLN. INFO.:	•		CN 2006-10012014	26
				200605 26

The title material contains 30-80 weight% of lithium storage active metal embedded AΒ in active carbon microspheres. The title method comprises grinding lithium storage active metal (such as Sn, Sn/Sb alloy, etc) or its oxide particles into fine powder, dissolving resorcinol and formaldehyde at a mole ratio of (1-3):1 into deionized water, adding basic catalyst and the fine powder at a mass ratio of powder/resorcinol of (0.2-1):1, adding the obtained mixed solution into oil phase in the presence of surfactant to obtain reverse micelle emulsion, stirring at 20-80° to obtain solid microspheres of phenol-formaldehyde resin gel, solid-liquid separating, vacuum-drying the separated solid to remove oil phase adhered to the surface of the microspheres, reacting under inert gas protection at 800-1200°, and naturally cooling. The material has the advantages of high capacity, good cycle performance, and low cost.

IT **7440-21-3P**, Silicon, uses

> RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(method for preparing metal-embedded active carbon microsphere composite with high capacity and good cycle performance as lithium secondary battery anode material)

7440-21-3 HCAPLUS RN

Silicon (CA INDEX NAME) CN

Si

CC .52-2 (Electrochemical, Radiational, and Thermal Energy Technology) active carbon microsphere anode tin antimony

27

copper silicon composite

ΙT Battery anodes

> Carbonization Composites

Electric capacitance

Microspheres

Particle size

(method for preparing metal-embedded active carbon microsphere composite with high capacity and good cycle performance as lithium secondary battery anode material)

ΙT 7440-21-3P, Silicon, uses 7440-31-5P, Tin, uses

12668-36-9P 39460-91-8P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(method for preparing metal-embedded active carbon microsphere composite with high capacity and good cycle performance as lithium secondary battery anode material)

L37 ANSWER 3 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER: DOCUMENT NUMBER:

2006:411606 HCAPLUS Full-text 144:453237

TITLE:

Inorganic separator-electrode-unit for

lithium-ion batteries, their production, and use

INVENTOR(S):

Hoerpel, Gerhard; Hennige, Volker; Hying,

Christian; Augustin, Sven

PATENT ASSIGNEE(S):

Degussa A.-G., Germany PCT Int. Appl., 38 pp.

SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

German

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

. P.	ATENT I	NO.			KIN	D -	DATE			APPL	ICAT	ION I	NO.		D	ATE
—- W	D 2006	- 0453:	39		A2		2006	0504	1	WO 2	004-1	EP52	605		2	00410
	₩:	CH, GB, KR, MX, SE,	CN, GD, KZ, MZ, SG,	CO, GE, LC, NA, SK,	CR, GH, LK, NI, SL,	CU, GM, LR, NO, SY,	AU, CZ, HR, LS, NZ, TJ,	DE, HU, LT, OM,	DK, ID, LU, PG,	DM, IL, LV, PH,	DZ, IN, MA, PL,	EC, IS, MD, PT,	EE, JP, MG, RO,	EG, KE, MK, RU,	ES, KG, MN, SC,	CA, FI, KP, MW, SD,
		AT, IE, CG, GM, BY,	IT, CI, KE, KG,	BG, LU, CM, LS, KZ,	CH, MC, GA, MW,	CY, NL, GN, MZ,	CZ, PL, GQ, NA, TJ,	PT, GW, SD,	RO, ML, SL,	SE, MR, SZ,	SI, NE, TZ,	SK, SN, UG,	TR, TD, ZM,	BF, TG,	ВJ, ВW,	CF, GH,
PRIORI'	TY APP:	LN.	INFO	.:					* 1	WO 2	004-:	EP52	605		2	00410

The invention relates to separator-electrode-units (SEU) for Li batteries in AB addition to a method for their production The SEU have a porous electrode as a cathode or an anode which is suitable for a Li battery and a separator layer which is applied to the electrode. The SEU comprises (1) an inorg. separator layer containing ≥2 fractions of metal oxide particles which differ from each other by

28

the average particle size and/or the metal type and (2) an electrode having the active mass particles connected together and to the working electrode by means of the inorg. adhesive. The SEU can be produced in a simple manner as a component, and significantly higher temps. can be used during its production than in production of the conventional SEU because the electrodes do not contain any heatsensitive organic materials.

7440-21-3, Silicon, uses

RL: TEM (Technical or engineered material use); USES (Uses) (in anode active mass for inorq.

separator-electrode-unit for lithium-ion batteries)

7440-21-3 HCAPLUS RN

CNSilicon (CA INDEX NAME)

Si

ICM H01M004-36

ICS H01M002-14; H01M002-16; H01M004-48; H01M010-02

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

1332-29-2, Tin oxide 7439-98-7, Molybdenum, uses 7440-03-1,

Niobium, uses 7440-21-3, Silicon, uses 7440-32-6,

Titanium, uses 7440-33-7, Tungsten, uses 7440-44-0, Carbon, uses

7782-42-5, Graphite, uses 12057-24-8, Lithium oxide, uses

12070-08-5, Titanium carbide 12137-20-1, Titanium oxide (TiO)

25583-20-4, Titanium nitride (TiN) 50926-11-9, Indium tin oxide

53680-59-4 56451-30-0, Lithium titanium oxide (LiTiO3)

68848-64-6

RL: TEM (Technical or engineered material use); USES (Uses)

(in anode active mass for inorg.

separator-electrode-unit for lithium-ion batteries)

L37 ANSWER 4 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER:

2005:1305869 HCAPLUS Full-text

DOCUMENT NUMBER:

144:38366

TITLE:

Manufacture of Li secondary battery

anodes and their particulate active masses of long cycle life

INVENTOR(S):

Kagawa, Hiroshi; Sada, Tsutomu; Hashimoto, Kanae

Pionix Co., Ltd., Japan PATENT ASSIGNEE(S):

Jpn. Kokai Tokkyo Koho, 24 pp. SOURCE:

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE 	APPLICATION NO.	DATE
JP 2005347147	А	20051215	JP 2004-166659	200406
PRIORITY APPLN. INFO.:			JP 2004-166659	04 200406 04

The manufacturing process comprises these steps; alloying Si or Sn with the 1st AB metals to form alloy particles (A), surfacing (and alloying) them with the 2nd

29

metals, allowing them to adhere on low-fusible metal (or alloy) particles by methods chosen from mech. alloying, mech. grinding, mechanofusing, hybridizing, and/or sintering to form precursor particles, covering them with organic polymer solns. and firing (at 300-1000°) in inert atmospheric to form aggregates, i.e., active masses for Li secondary batteries, optionally bonding low-temperature-fired carbon, acetylene black, Ketjen black, carbon fibers, and/or graphite. The precursor particles have average diameter larger than A. Li secondary battery anodes coated on current collectors with the above active masses are further claimed.

IT 7440-21-3, Silicon, uses

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-38

ICS H01M004-02; H01M004-04; H01M004-62; H01M010-40

- CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology) Section cross-reference(s): 56
- ST lithium secondary battery anode silicon tin alloy; surface alloyed large battery anode active mass; alloyed tin cobalt aluminum adhering zinc battery anode
- IT Carbon black, uses

RL: DEV (Device component use); IMF (Industrial manufacture); PREP (Preparation); USES (Uses)

(Ketjen black, acetylene black; manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

IT Carbonaceous materials (technological products)
 RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process); USES (Uses)

(anode active mass; manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

IT Sintering

(hot pressing; manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

IT Solders

(lead-free; manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

IT Secondary batteries

(lithium; manufacture of lithium secondary battery **anodes** containing **active** mass particles of surface-alloyed composites)

IT Battery anodes

(manufacture of lithium secondary battery ${\bf anodes}$ containing ${\bf active}$ mass ${\bf particles}$ of increased ${\bf diam}$

. and with long cycle life)

IT Carburizing

10/721,280 30

Firing (heat treating)

(manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

IT Carbon fibers, uses

RL: DEV (Device component use); IMF (Industrial manufacture); PREP (Preparation); USES (Uses)

(manufacture of lithium secondary battery **anodes** containing **active** mass particles of surface-alloyed composites)

IT Grinding (machining)

(mech.; manufacture of lithium secondary battery anodes
containing active mass particles of surface-alloyed
composites)

IT Alloying

(surface; manufacture of lithium secondary battery **anodes** containing **active** mass particles of surface-alloyed composites)

TT 7439-95-4, Magnesium, uses 7440-36-0, Antimony, uses 7440-47-3, Chromium, uses 7440-48-4, Cobalt, uses 7440-69-9, Bismuth, uses RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical process); PYP (Physical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(amorphizing elements; manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

IT 7429-90-5, Aluminum, uses 7439-89-6, Iron, uses 7439-92-1, Lead,
uses 7440-02-0, Nickel, uses 7440-50-8, Copper, uses
7440-66-6, Zinc, uses 11110-87-5 11124-13-3 11125-88-5
11144-61-9 12713-30-3 39460-91-8 226085-21-8
RL: DEV (Device component use); PEP (Physical, engineering or
chemical process); PYP (Physical process); PROC (Process); USES
(Uses)

(core particles; manufacture of lithium secondary battery anodes containing active mass particles of surface-alloyed composites)

(manufacture of lithium secondary battery **anodes** containing **active** mass particles of surface-alloyed composites)

IT 583827-66-1P 871034-27-4P

RL: IMF (Industrial manufacture); PEP (Physical, engineering or chemical process); PYP (Physical process); TEM (Technical or engineered material use); PREP (Preparation); PROC (Process); USES (Uses)

(manufacture of lithium secondary battery **anodes** containing **active** mass particles of surface-alloyed composites)

TT **7440-21-3**, Silicon, uses 7440-31-5, Tin, uses 868657-97-0 871034-26-3

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(manufacture of lithium secondary battery **anodes** containing **active** mass particles of surface-alloyed composites)

L37 ANSWER 5 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2004:824974 HCAPLUS Full-text DOCUMENT NUMBER: 141:334896

OCOMENI NOMBEK. 141.55465

TITLE: Anode active material for rechargeable lithium battery

INVENTOR(S): Sheem, Kyou-Yoon; Matsubara, Keiko; Tsuno,

10/721,280 31

Toshiaki; Takamuku, Akira

PATENT ASSIGNEE(S):

S. Korea

SOURCE:

U.S. Pat. Appl. Publ., 8 pp.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PA	PATENT NO.		DATE	APPLICATION NO.	DATE			
					•			
US	2004197660	A1	20041007	US 2004-752297				
	•					200401 06		
JP	2004214055	A	20040729	JP 2003-447				
						200301 06		
KR	2004063803	А	20040714	KR 2004-263				
						200401 05		
PRIORIT	Y APPLN. INFO.:			JP 2003-447	A			
						200301 06		
				KR 2004-263	A			
						200401 05		

Disclosed is a neg. active material for a rechargeable lithium battery including ultra-fine particles comprising an element which is capable of alloying with lithium. The particles have a diameter of 1 nm to 200 nm, a Raman shift of 480 cm -1 to 520 cm -1 measured by Raman Spectroscopy, and a full width at half-maximum of 10 cm-1 to 30 cm-1.

IT **7440-21-3**, Silicon, uses

RL: DEV (Device component use); USES (Uses) (anode active material for rechargeable lithium battery)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC H01M004-58

INCL 429231950

- CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)
- ST anode active material rechargeable lithium

battery

IT Battery anodes

(anode active material for rechargeable

lithium battery)

IT Secondary batteries

(lithium; anode active material for

rechargeable lithium battery)

IT **7440-21-3**, Silicon, uses

RL: DEV (Device component use); USES (Uses) (anode active material for rechargeable

lithium battery)

L37 ANSWER 6 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER: 2004:609464 HCAPLUS Full-text

DOCUMENT NUMBER: 141:143229

TITLE: Anode active mass for

secondary lithium battery and the battery INVENTOR(S): Matsubara, Keiko; Tsuno, Toshiaki; Takakura,

Akira; Shin, Sen Koh

PATENT ASSIGNEE(S): Samsung SDI Co., Ltd., S. Korea

SOURCE: Jpn. Kokai Tokkyo Koho, 10 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE -
JP 2004214055	А	20040729	JP 2003-447	200301
KR 2004063803	А	20040714	KR 2004-263	200401
CN 1521873	А	20040818	CN 2004-10003853	05 200401
US 2004197660	A1	20041007	US 2004-752297	200401
PRIORITY APPLN. INFO.:			JP 2003-447	06 A 200301 06
			KR 2004-263	A 200401 05

AB The active mass comprises a ultrafine particle powder; made of a Li alloyable element which has a particle size of 1-200 nm and is formed by evaporation in a gas atmospheric The battery has the above anode active mass.

IT **7440-21-3**, Silicon, uses

RL: TEM (Technical or engineered material use); USES (Uses) (anodes containing lithium alloyable elements with controlled ultrafine particle size for secondary lithium batteries)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-38

ICS H01M004-02; H01M010-40

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT Battery anodes

33

(anodes containing lithium alloyable elements with controlled ultrafine particle size for secondary lithium batteries)

IT Secondary batteries

(lithium; anodes containing lithium alloyable elements with controlled ultrafine particle size for secondary lithium batteries)

IT 7440-59-7, Helium, processes

RL: CPS (Chemical process); PEP (Physical, engineering or chemical

process); PROC (Process)
 (anodes containing lithium alloyable elements with controlled
 ultrafine particle size for secondary lithium

batteries)

IT **7440-21-3**, Silicon, uses

RL: TEM (Technical or engineered material use); USES (Uses) (anodes containing lithium alloyable elements with controlled ultrafine particle size for secondary lithium batteries)

L37 ANSWER 7 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2004:412155 HCAPLUS Full-text

DOCUMENT NUMBER:

140:393396

TITLE:

Secondary nonaqueous electrolyte battery

INVENTOR(S):

Yamada, Masayuki; Morimoto, Hideyuki; Ueda, Atsushi; Aoyama, Shigeo

C).

PATENT ASSIGNEE(S):

Hitachi Maxell Ltd., Japan

SOURCE:

Jpn. Kokai Tokkyo Koho, 13 pp.

CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT:

• 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2004146104	A	20040520	JP 2002-306980	200210 22
PRIORITY APPLN. INFO.:			JP 2002-306980	200210

- AB The battery has a nonaq. electrolyte, a cathode, and an anode, containing anode active mass particles on a collector; where the thickness of the anode active mass layer is set to be 2 times larger than the average particle size of the anode active mass particle.
- TT 7440-21-3, Silicon, uses 7440-21-3D, Silicon,
 containing Si/SiNi/Si2Ni phase
 RL: DEV (Device component use); USES (Uses)

(secondary batteries containing anode active mass with controlled thickness based on their particle size)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

```
RN
     7440-21-3 HCAPLUS
CN
     Silicon (CA INDEX NAME)
Si
     ICM H01M004-02
IC
     ICS H01M004-38; H01M004-40; H01M004-62; H01M010-40
CC
     52-2 (Electrochemical, Radiational, and Thermal Energy Technology)
     secondary battery anode particle size thickness
     control
ΙT
     Battery anodes
     Secondary batteries
        (secondary batteries containing anode active mass
        with controlled thickness based on their particle
ΤТ
     Carbon fibers, uses
     RL: DEV (Device component use); USES (Uses)
        (secondary batteries containing anode active mass
        with controlled thickness based on their particle
        size)
IT
     7440-21-3, Silicon, uses 7440-21-3D, Silicon,
     containing Si/SiNi/Si2Ni phase 7440-44-0, Carbon, uses 7782-42-5,
     Graphite, uses 12035-57-3D, Nickel silicide (NiSi), containing
     Si/SiNi/Si2Ni phase 12201-89-7D, Nickel silicide (NiSi2), containing
     Si/SiNi/Si2Ni phase 295782-50-2
     RL: DEV (Device component use); USES (Uses)
        (secondary batteries containing anode active mass
        with controlled thickness based on their particle
        size)
L37 ANSWER 8 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN
ACCESSION NUMBER:
                   2001:677124 HCAPLUS Full-text
DOCUMENT NUMBER:
                         135:213522
TITLE:
                         Secondary nonaqueous electrolyte batteries
INVENTOR(S):
                         Kasamatsu, Shinji; Shimamura, Harunari; Nitta,
                         Yoshiaki
PATENT ASSIGNEE(S):
                         Matsushita Electric Industrial Co., Ltd., Japan
SOURCE:
                         PCT Int. Appl., 28 pp.
                         CODEN: PIXXD2
DOCUMENT TYPE:
                         Patent
LANGUAGE:
                         Japanese
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
     PATENT NO.
                        KIND
                                DATE
                                          APPLICATION NO.
                                                                  DATE
                        ____
     WO 2001067528
                        A1
                                20010913
                                          WO 2001-JP1747
                                                                   200103
         W: CN, KR, US
         RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,
```

NL, PT, SE, TR

A ·

20011122 JP 2001-58323

JP 2001325958

200103 02

US 2003096168 20030522 Α1 US 2002-220885 200209 05 US 6911282 B2 20050628 PRIORITY APPLN. INFO.: JP 2000-61483 Α 200003 07 JP 2001-58323 Ά 200103 02 WO 2001-JP1747 W 200103 06

AB The batteries use anodes containing graphite conductive particles, having median diameter Dc, and Li intercalating particles, having median diameter Da; where the Li intercalating particles have a Si and/or Sn core particle, coated with a solid solution or intermetallic compound layer containing the core component and ≥1 Group 2-14 element other than Si, Sn and C, and have Dc/Da = 0.02-0.5. Preferably, the coating is Ti2Si and Ti2Sn for Si and Sn cores, resp.

IT 7440-21-3, Silicon, uses

RL: DEV (Device component use); USES (Uses)
(anodes from lithium intercalating particles with solid solution or intermetallic compound coatings for secondary lithium batteries)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-38

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

ST secondary lithium battery anode particle coating; silicon particle intermetallic compd coating battery anode; tin particle intermetallic compd coating battery anode; size ratio battery anode active mass conductor

IT Particle size

(controlled **particle size** ratio between graphite conductor and **anode active** mass in secondary lithium batteries)

IT 1313-08-2 **7440-21-3**, Silicon, uses 7440-31-5, Tin, uses 12039-83-7, Titanium silicide (TiSi2) 12201-89-7, Nickel silicide (NiSi2) 12510-35-9 77137-25-8, Titanium silicide (Ti2Si)

RL: DEV (Device component use); USES (Uses)

(anodes from lithium intercalating particles with solid solution or intermetallic compound coatings for secondary lithium batteries)

IT 7782-42-5, Graphite, uses

RL: DEV (Device component use); USES (Uses) (controlled particle size ratio between graphite conductor and anode active mass in secondary lithium batteries)

REFERENCE COUNT: 5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

36

L37 ANSWER 9 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 1999:734159 HCAPLUS Full-text

DOCUMENT NUMBER: 131:312423

TITLE: Anodes for lithium batteries and their

manufacture

INVENTOR(S): Chen, Liquan; Li, Guobao; Xue, Rongjian; Huang,

Hong; Liu, Weifeng

PATENT ASSIGNEE(S): Physics Institute, Chinese Academy of Sciences,

Peop. Rep. China

SOURCE: Faming Zhuanli Shenqing Gongkai Shuomingshu, 8

pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
 CN 1155765	 .55765 A 19970730 CN 1996-:	CN 1996-100810		
ON 1042075	D	10000407		199601 22
CN 1042875 PRIORITY APPLN. INFO.:	В	19990407	CN 1996-100810	199601 22

The anodes contain 1-20% dispersing agents and 80-99% active mass mixts., which contains a 1st active mass 1-99% and a 2nd active mass 1-99%. The 1st active mass is selected from graphite, carbon fiber, petroleum coke, TiS2, Li3-xMxN (M = Ni, Co, and/or Cu; 0 <x <1), LiTi2O4, and BC2N; and the 2nd active mass is selected from Al, Sb, Bi, Si, Sn, Ga, In, Cd, Zn, Pb, Mg, Fe, and their Li alloys. The dispersing agent is PTFE, poly(vinylidene fluoride), ethylene propylene rubber, etc. The anodes are prepared by pulverizing electrode material to **particle size** 0.001-40 mm by mech. grinding and ultrasound, processing the powder to form thin films, and drying in vacuum at $140-250\Phi'$ for 5-300 h.

IT 7440-21-3, Silicon, uses

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)

(compns. of anodes containing different active

mass dispersed in polymers for secondary lithium batteries)

- RN 7440-21-3 HCAPLUS
- CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-02

ICS H01M004-04; H01M004-36

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT Battery anodes

(compns. of anodes containing different active

mass dispersed in polymers for secondary lithium batteries)

IT Ethylene-propylene rubber

Fluoropolymers, uses

RL: DEV (Device component use); PEP (Physical, engineering or

10/721,280 37

```
chemical process); PROC (Process); USES (Uses)
        (dispersing binders in anodes containing different
        active mass dispersed in polymers for secondary lithium
        batteries)
IΤ
     Coke
     RL: DEV (Device component use); PEP (Physical, engineering or
     chemical process); PROC (Process); USES (Uses)
        (needle; compns. of anodes containing different
        active mass dispersed in polymers for secondary lithium
        batteries)
     7429-90-5, Aluminum, uses 7439-89-6, Iron, uses 7439-92-1, Lead,
IT
           7439-95-4, Magnesium, uses 7440-21-3, Silicon, uses
     7440-31-5, Tin, uses 7440-36-0, Antimony, uses 7440-43-9,
     Cadmium, uses 7440-55-3, Gallium, uses 7440-66-6, Zinc, uses
     7440-69-9, Bismuth, uses 7440-74-6, Indium, uses 7782-42-5,
     Graphite, uses 12039-13-3, Titanium sulfide 12798-95-7
     26134-62-3D, Lithium nitride (Li3N), cobalt substituted
     37217-08-6, Lithium titanium oxide (LiTi2O4) 120039-00-1, Boron
     carbide nitride (BC2N)
                            247906-48-5
     RL: DEV (Device component use); PEP (Physical, engineering or
     chemical process); PROC (Process); USES (Uses)
        (compns. of anodes containing different active
       mass dispersed in polymers for secondary lithium batteries)
ΙT
     9002-84-0 24937-79-9
     RL: DEV (Device component use); PEP (Physical, engineering or
     chemical process); PROC (Process); USES (Uses)
        (dispersing binders in anodes containing different
       active mass dispersed in polymers for secondary lithium
       batteries)
     9010-79-1
     RL: DEV (Device component use); PEP (Physical, engineering or
     chemical process); PROC (Process); USES (Uses)
        (ethylene-propylene rubber, dispersing binders in anodes
        containing different active mass dispersed in polymers for
        secondary lithium batteries)
L37 ANSWER 10 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN
ACCESSION NUMBER:
                    1999:114586 HCAPLUS Full-text
DOCUMENT NUMBER:
                        130:127418
TITLE:
                        Anode active mass for high
                        energy nickel/hydrogen batteries and its
                        manufacture
INVENTOR(S):
                        Pan, Shuming
                       Peop. Rep. China
PATENT ASSIGNEE(S):
SOURCE:
                        Faming Zhuanli Shenqing Gongkai Shuomingshu, 10
                        pp.
                        CODEN: CNXXEV
DOCUMENT TYPE:
                        Patent
LANGUAGE:
                        Chinese
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
                                         APPLICATION NO. DATE
     PATENT NO.
                        KIND
                               DATE
                        ----
                        A 19960612 CN 1994-118804
     CN 1124411
                                                                  199412
                                                                  05
```

CN 1994-118804

199412

PRIORITY APPLN. INFO.:

05

38

AB The anode active mass is (M1-p-rEpM'r)Ni5-x-y-z-q-kAlxMnyCozDqAk, where, M=La-or Ce-rich rare earth mixture; E=Nd; M'=Pr; D=Zr, Hf, V, Nb, Ta, Li, Mo, B, or Si; A=N, O, or H; and $0 \le p \le 0.2,$ $0 \le r \le 0.1,$ $0 \le x \le 0.3,$ $0 \le y \le 0.8,$ $0 \le z \le 0.7,$ 0

 \leq q \leq 0.1, and 0 \leq k \leq 0.01. The manufacturing process consists of alloy smelting in Ar, stage crushing to **particle size** smaller than 0.048-0.074 mm, and chemical coating with Cu and/or Ni. Metal molds with good thermal conductivity are used to obtain fine columnar crystals.

IT **7440-21-3**, Silicon, uses

RL: DEV (Device component use); USES (Uses) (compns. and structure and manufacture of rare earth-nickel hydrogen absorbing alloys for nickel battery anodes)

RN 7440-21-3 HCAPLUS

CN Silicon (CA INDEX NAME)

Si

IC ICM H01M004-36 ICS H01M004-26

CC 52-2 (Electrochemical, Radiational, and Thermal Energy Technology)

IT 1333-74-0, Hydrogen, uses 7429-90-5, Aluminum, uses 7439-93-2, Lithium, uses 7439-96-5, Manganese, uses 7439-98-7, Molybdenum, uses 7440-00-8, Neodymium, uses 7440-03-1, Niobium, uses 7440-10-0, Praseodymium, uses 7440-21-3, Silicon, uses 7440-25-7, Tantalum, uses 7440-42-8, Boron, uses 7440-48-4, Cobalt, uses 7440-58-6, Hafnium, uses 7440-62-2, Vanadium, uses 7440-67-7, Zirconium, uses 7727-37-9, Nitrogen, uses 7782-44-7, Oxygen, uses

RL: DEV (Device component use); USES (Uses)

(compns. and structure and manufacture of rare earth-nickel hydrogen absorbing alloys for nickel battery **anodes**)

=>